

**PENNSYLVANIA LIQUOR CONTROL BOARD  
TAVERN GAMING LICENSE  
ENTITY APPLICATION AND DISCLOSURE INFORMATION FORM**

**(TO BE COMPLETED BY ANY ENTITY THAT OWNS 10% OR MORE OF THE TAVERN, OR HAS A CONTROLLING INTEREST IN THE TAVERN, OR SERVES AS THE MANAGEMENT COMPANY FOR THE TAVERN)**

**APPENDIX: THE APPENDIX IS A DOCUMENT THE APPLICANT MUST PROVIDE OR CREATE. THE APPENDIX IS NOT REPRESENTED IN THE APPLICATION QUESTIONS. EACH APPENDIX MUST BE PRESENTED IN A TABBED MANNER AND EACH TAB MUST INDICATE THE APPENDIX NUMBER AS LISTED BELOW. IF AN APPENDIX DOES NOT APPLY TO AN APPLICANT, WRITE "DOES NOT APPLY" ON THE APPENDIX PAGE.**

<input type="checkbox"/>	APPENDIX 1: CURRENT OWNERSHIP AND MANAGEMENT TABLES OF ORGANIZATION, INCLUDING OWNERSHIP PERCENTAGES. PERCENTAGES MUST TOTAL 100%.	MANDATORY
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LID No. \_\_\_\_\_

LICENSE No. \_\_\_\_\_

**1. ENTITY INFORMATION**

BUSINESS NAME AS IT APPEARS ON ENTITY’S CERTIFICATE OF INCORPORATION, CHARTER, BYLAWS, PARTNERSHIP AGREEMENT OR OTHER OFFICIAL DOCUMENTS (SPELL OUT COMPLETE NAME, DO NOT USE ABBREVIATIONS)

\_\_\_\_\_

LIST ALL TRADE NAME(S) AND DOING BUSINESS AS (“DBA”) NAMES \_\_\_\_\_

\_\_\_\_\_

ENTITY’S PRINCIPAL ADDRESS \_\_\_\_\_

\_\_\_\_\_

EMAIL ADDRESS \_\_\_\_\_ WEB ADDRESS \_\_\_\_\_

PHONE NUMBER \_\_\_\_\_ FAX NUMBER \_\_\_\_\_

ENTITY’S FORM OF ORGANIZATION (CHECK ONE)

SOLE PROPRIETORSHIP  PARTNERSHIP  LIMITED PARTNERSHIP  C-CORPORATION  TRUST

LIMITED LIABILITY COMPANY  S- CORPORATION  OTHER (DESCRIBE) \_\_\_\_\_

ENTITY’S STATE OF INCORPORATION, REGISTRATION OR OTHER TYPE OF FORMATION

\_\_\_\_\_

DATE OF FORMATION \_\_\_\_\_

BUSINESS NAME AS IT APPEARS ON FORMATION DOCUMENTS \_\_\_\_\_

PERCENTAGE OF OWNERSHIP \_\_\_\_\_ DATE ACQUIRED \_\_\_\_\_

LIST ALL STATES IN WHICH THE ENTITY IS CURRENTLY LICENSED TO OFFER GAMING

\_\_\_\_\_

\_\_\_\_\_

IS THE ENTITY REGISTERED OR AUTHORIZED TO DO BUSINESS IN THE COMMONWEALTH OF PENNSYLVANIA?

YES  NO

FEDERAL EMPLOYER IDENTIFICATION NUMBER	PA UNEMPLOYMENT COMPENSATION ACCOUNT NUMBER
PA DEPARTMENT OF REVENUE CORPORATE BOX NUMBER	PA LIQUOR CONTROL BOARD LICENSE AND LID NUMBERS
PA WORKERS COMPENSATION POLICY NUMBER	PA DEPARTMENT OF STATE – ENTITY NUMBER

LID No. \_\_\_\_\_

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HAVE YOU BEEN ADJUDICATED BANKRUPT OR FILED A PETITION FOR ANY TYPE OF BANKRUPTCY OR INSOLVENCY UNDER ANY BANKRUPTCY OR INSOLVENCY LAW IN THE LAST TEN (10) YEAR PERIOD? IF YES, ATTACH A COPY OF THE BANKRUPTCY PETITION AND DISCHARGE, IF GRANTED.  YES  NO

<b>BANKRUPTCY OR INSOLVENCY PROCEEDINGS</b>			
CASE NAME & DOCKET NUMBER	DATE FILED	NAME AND ADDRESS OF AGENCY OR COURT INVOLVED	
	DATE OF DISPOSITION	NAME OF COURT APPOINTED RECEIVER, AGENT OR TRUSTEE	DATE RECEIVER, AGENT OR TRUSTEE APPOINTED
NATURE OF JUDGMENT OR RELIEF			

\*ATTACH ADDITIONAL PAGES AS NECESSARY.

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HAVE YOU HAD ANY FINANCIAL LIENS OR JUDGMENTS FILED AGAINST YOU IN THE LAST FIVE (5) YEAR PERIOD?  
(INCLUDE FEDERAL TAX LIENS, STATE TAX LIENS, UNEMPLOYMENT COMPENSATION JUDGMENTS, ETC.).

YES  NO

DO YOU HAVE ANY OUTSTANDING TAX LIABILITIES TO EITHER THE COMMONWEALTH OF PENNSYLVANIA OR ANY OTHER  
LOCAL, MUNICIPAL, COUNTY, STATE, FEDERAL OR FOREIGN JURISDICTIONS?

YES  NO

IF YOU ANSWER YES TO EITHER QUESTION, COMPLETE THE FOLLOWING CHART:

VIOLATION		
CASE NAME & DOCKET NUMBER	DATE OF JUDGMENT, ORDER OR DECREE	NAME AND ADDRESS OF AGENCY OR COURT INVOLVED
LIABILITY TYPE AND NATURE OF OFFENSE		
DISPOSITION <input type="checkbox"/> ACQUITTED <input type="checkbox"/> CONVICTED <input type="checkbox"/> DISMISSED <input type="checkbox"/> OTHER _____		
NATURE OF JUDGMENT, DECREE OR ORDER		
VIOLATION		
CASE NAME & DOCKET NUMBER	DATE OF JUDGMENT, ORDER OR DECREE	NAME AND ADDRESS OF AGENCY OR COURT INVOLVED
LIABILITY TYPE AND NATURE OF OFFENSE		
DISPOSITION <input type="checkbox"/> ACQUITTED <input type="checkbox"/> CONVICTED <input type="checkbox"/> DISMISSED <input type="checkbox"/> OTHER _____		
NATURE OF JUDGMENT, DECREE OR ORDER		

\*ATTACH ADDITIONAL PAGES AS NECESSARY.

**3. CRIMINAL HISTORY**

THE NEXT SECTION ASKS ABOUT ANY CRIMINAL OFFENSES THE APPLICANT MAY HAVE COMMITTED OR HAD FILED AGAINST THEM. PRIOR TO ANSWERING THIS QUESTION, CAREFULLY REVIEW THE DEFINITIONS AND INSTRUCTIONS THAT FOLLOW.

DEFINITIONS	<p>FOR PURPOSES OF THIS SECTION:</p> <p>A. "CRIME OR OFFENSE" INCLUDES ALL FELONIES AND MISDEMEANORS, AS WELL AS SUMMARY OFFENSES THAT MAY HAVE REQUIRED YOU TO APPEAR BEFORE A LAW ENFORCEMENT AGENCY, STATE OR FEDERAL GRAND JURY, JUSTICE COURT, MUNICIPAL COURT, CITY COURT, TRAFFIC COURT, MILITARY COURT OR ANY OTHER COURT. INCLUDE ALL DUI/DWI OFFENSES.</p> <p>B. "ARREST" INCLUDES ANY TIME THAT YOU WERE STOPPED BY A POLICE OFFICER OR OTHER LAW ENFORCEMENT OFFICER AND ADVISED THAT YOU WERE UNDER ARREST, DETAINED, HELD FOR QUESTIONING, REQUESTED BY A POLICE OFFICER OR LAW ENFORCEMENT OFFICER TO COME TO A POLICE STATION AND ANSWER QUESTIONS, TAKEN INTO CUSTODY BY ANY POLICE OFFICER OR OTHER LAW ENFORCEMENT OFFICER, FINGERPRINTED, HELD IN JAIL, OR INSTRUCTED TO APPEAR IN COURT OR SUBPOENAED TO ANSWER FOR CONDUCT WHICH IS A CRIME AS HAS BEEN DEFINED IN PARAGRAPH "A."</p> <p>C. "CHARGE" INCLUDES ANY INDICTMENT, COMPLAINT, INFORMATION, SUMMONS, CITATION OR OTHER NOTICE OF THE ALLEGED COMMISSION OF ANY CRIME OR OFFENSE AS DEFINED IN PARAGRAPH "A."</p>
INSTRUCTIONS	<p>1. ANSWER <b>"YES"</b> AND PROVIDE ALL INFORMATION TO THE BEST OF YOUR ABILITY <b>EVEN IF:</b></p> <p>A. YOU DID NOT COMMIT THE OFFENSE CHARGED;</p> <p>B. THE ARREST OR CHARGES WERE DISMISSED OR THE CHARGES WERE SUBSEQUENTLY DOWNGRADED TO A LESSER CHARGE;</p> <p>C. YOU PLEADED NOT GUILTY OR NOLO CONTENDERE;</p> <p>D. YOU COMPLETED AN ACCELERATED REHABILITATIVE DISPOSITION ("ARD") OR EQUIVALENT DIVERSIONARY PROGRAM;</p> <p>E. THE CHARGES OR CONVICTION WERE EXPUNGED FROM YOUR RECORD, EVEN IF YOU HAVE EXPUNGEMENT PAPERS;</p> <p>F. YOU WERE GRANTED A PARDON;</p> <p>G. YOU WERE NOT CONVICTED OR WERE FOUND "NOT GUILTY";</p> <p>H. YOU DID NOT SERVE ANY TIME IN PRISON OR JAIL;</p> <p>I. THE ARRESTS, CHARGES OR OFFENSES HAPPENED A LONG TIME AGO;</p> <p>J. YOU WERE ARRESTED OR CHARGED IN ANOTHER STATE (A STATE OTHER THAN PENNSYLVANIA);</p> <p>K. YOU WERE NEVER PHYSICALLY TAKEN INTO CUSTODY AND/OR TRANSPORTED TO A POLICE STATION OR JAIL.</p> <p>2. ANSWER <b>"NO"</b> IF:</p> <p>A. YOU HAVE NEVER BEEN ARRESTED OR CHARGED WITH ANY CRIME OR OFFENSE;</p> <p><b>FAILURE TO FULLY ANSWER THIS QUESTION MAY RESULT IN THE DENIAL OF YOUR APPLICATION.</b></p>

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HAS THE APPLICANT EVER BEEN ARRESTED, INDICTED, CHARGED WITH OR CONVICTED OF A CRIMINAL OFFENSE, INCLUDING A NON-TRAFFIC SUMMARY OFFENSE, OR BEEN A PARTY TO OR NAMED AS AN UNINDICTED CO-CONSPIRATOR IN ANY CRIMINAL PROCEEDING IN THE COMMONWEALTH OR ANY OTHER JURISDICTION? IF YES, PROVIDE THE FOLLOWING INFORMATION.

<b>CRIMINAL HISTORY INCIDENT</b>						
NAME OF CASE & DOCKET NUMBER	NATURE OF CHARGE OR COMPLAINT	DATE OF CHARGE OR COMPLAINT	DISPOSITION (ACQUITTED, CONVICTED, DISMISSED, EXPUNGED, ETC.)	NAME AND ADDRESS OF LAW ENFORCEMENT AGENCY OR COURT INVOLVED	SENTENCE	NAME OF OFFICER, DIRECTOR/PARTNER OR TRUSTEE

\*ATTACH ADDITIONAL PAGES AS NECESSARY.

LID No. \_\_\_\_\_

LICENSE No. \_\_\_\_\_

**4. REGULATORY HISTORY**

IF THE APPLICANT HAS APPLIED FOR ANY TYPE OF LICENSE, INCLUDING LIQUOR LICENSES, BY ANY GOVERNMENTAL AGENCY IN THE COMMONWEALTH OF PENNSYLVANIA, PROVIDE THE FOLLOWING INFORMATION FOR THE LAST FIVE (5) YEAR PERIOD.

APPLICANT LICENSING					
TYPE OF LICENSE	NAME AND LOCATION OF GOVERNMENT AGENCY	LICENSE NUMBER(S)	DISPOSITION	DATE OF DISPOSITION	IF GRANTED, PROVIDE THE LICENSE NUMBER AND EXPIRATION DATE. IF DENIED, PENDING, EXPIRED, SUSPENDED, CONDITIONED, REVOKED OR WITHDRAWN, PROVIDE DETAILS.
			<input type="checkbox"/> GRANTED <input type="checkbox"/> DENIED <input type="checkbox"/> PENDING <input type="checkbox"/> EXPIRED <input type="checkbox"/> SUSPENDED <input type="checkbox"/> CONDITIONED <input type="checkbox"/> WITHDRAWN <input type="checkbox"/> REVOKED		
			<input type="checkbox"/> GRANTED <input type="checkbox"/> DENIED <input type="checkbox"/> PENDING <input type="checkbox"/> EXPIRED <input type="checkbox"/> SUSPENDED <input type="checkbox"/> CONDITIONED <input type="checkbox"/> WITHDRAWN <input type="checkbox"/> REVOKED		
			<input type="checkbox"/> GRANTED <input type="checkbox"/> DENIED <input type="checkbox"/> PENDING <input type="checkbox"/> EXPIRED <input type="checkbox"/> SUSPENDED <input type="checkbox"/> CONDITIONED <input type="checkbox"/> WITHDRAWN <input type="checkbox"/> REVOKED		

\*ATTACH ADDITIONAL PAGES AS NECESSARY.

LID No. \_\_\_\_\_

LICENSE No. \_\_\_\_\_

**APPLICATION FOR PENNSYLVANIA TAX CLEARANCE REVIEW**

COMPLETION OF THIS FORM IS A CONDITION OF THIS APPLICATION AND WILL AUTHORIZE THE PENNSYLVANIA DEPARTMENT OF REVENUE ("DOR") AND THE DEPARTMENT OF LABOR AND INDUSTRY ("DLI") TO REVIEW THE TAX RECORDS OF THE TAVERN, INDIVIDUAL AND/OR ENTITY AS PART OF THE INVESTIGATION BY THE PENNSYLVANIA GAMING CONTROL BOARD, BUREAU OF INVESTIGATIONS AND ENFORCEMENT ("BUREAU"). YOUR SIGNATURE ON THIS FORM ALSO REPRESENTS A WAIVER OF CONFIDENTIALITY OF TAX INFORMATION. YOUR SIGNATURE ALLOWS THE DOR AND DLI TO PROVIDE TAX INFORMATION TO THE BUREAU AND ITS AUTHORIZED INVESTIGATORY AGENTS. IN ADDITION, YOUR SIGNATURE AUTHORIZES THE DOR, DLI AND THE BUREAU TO PROVIDE YOUR TAX INFORMATION TO THE PENNSYLVANIA LIQUOR CONTROL BOARD.

\_\_\_\_\_  
NAME AS LISTED ON TAX RETURN

\_\_\_\_\_  
EMPLOYER IDENTIFICATION NUMBER/TAX  
IDENTIFICATION NUMBER/SOCIAL SECURITY  
NUMBER

\_\_\_\_\_  
ADDRESS

\_\_\_\_\_  
CITY

\_\_\_\_\_  
STATE

\_\_\_\_\_  
ZIP CODE

I CERTIFY THAT I AM THE INDIVIDUAL WHOSE TAX RECORDS ARE TO BE REVIEWED. IF THE TAX RECORDS ARE FOR AN ENTITY, I CERTIFY THAT I AM THE AUTHORIZED SIGNATORY FOR THE APPLICANT.

\_\_\_\_\_  
APPLICANT SIGNATURE

\_\_\_\_\_  
TELEPHONE NUMBER

\_\_\_\_\_  
DATE

LID No. \_\_\_\_\_

LICENSE No. \_\_\_\_\_

**AFFIDAVIT AND WAIVER OF LIABILITY**

STATE OF \_\_\_\_\_:

SS:

COUNTY OF \_\_\_\_\_:

THE TAVERN, INDIVIDUAL, AND/OR ENTITY DOES HEREBY GIVE FULL CONSENT TO THE PENNSYLVANIA GAMING CONTROL BOARD, BUREAU OF INVESTIGATIONS AND ENFORCEMENT ("BUREAU") TO CONDUCT A FULL BACKGROUND INVESTIGATION OF THE APPLICATION(S) SUBMITTED TO THE PENNSYLVANIA LIQUOR CONTROL BOARD ("BOARD") FOR A LICENSE TO CONDUCT TAVERN GAMES AT ITS LICENSED PREMISES. THE TAVERN, INDIVIDUAL, AND/OR ENTITY DOES HEREBY GIVE FULL CONSENT TO THE RELEASE OF ALL BACKGROUND INVESTIGATION INFORMATION TO THE BOARD.

THE TAVERN, INDIVIDUAL, AND/OR ENTITY DOES HEREBY CERTIFY THAT THE INFORMATION CONTAINED HEREIN IS TRUE AND CORRECT AND THAT THERE IS NO MISREPRESENTATION, FALSIFICATION OR OMISSION IN THIS APPLICATION. FURTHER, THE TAVERN, INDIVIDUAL, AND/OR ENTITY IS AWARE THAT ANY FALSE OR MISLEADING STATEMENT OR OMITTED INFORMATION WILL BE CAUSE FOR REJECTION OF A TAVERN GAMING LICENSE AND MAY BE SUBJECT TO CRIMINAL PENALTIES UNDER 18 PA. C.S.A. §§ 4902, 4903 AND 4904.

THE TAVERN, INDIVIDUAL, AND/OR ENTITY AGREES TO THE TERMS OF APPLICATION AND LICENSURE IN THE LOCAL OPTION SMALL GAMES OF CHANCE ACT ("ACT"), THE BOARD'S REGULATIONS, AND THE PENNSYLVANIA DEPARTMENT OF REVENUE ("DOR") REGULATIONS AND AGREES, IF LICENSED, TO ABIDE BY THE SAME.

APPLICANT AGREES TO:

1. PROVIDE ANY ASSISTANCE OR INFORMATION REQUIRED BY THE BOARD, BUREAU AND/OR DOR AND TO COOPERATE IN ANY INQUIRY, INVESTIGATION OR HEARING;
2. CONSENT TO INSPECTION OF THE PREMISES APPLYING FOR OR SEEKING RENEWAL OF A LICENSE TO CONDUCT TAVERN GAMES ;
3. INFORM THE BOARD, BUREAU AND/OR DOR OF ANY ACTIONS WHICH APPLICANT BELIEVES WOULD CONSTITUTE A VIOLATION OF THE ACT OR ASSOCIATED REGULATIONS; AND
4. INFORM THE BOARD AND BUREAU OF ANY ARRESTS FOR ANY CRIMINAL VIOLATIONS OR OFFENSES INCLUDING THOSE ENUMERATED UNDER 18 PA. C.S.A. (RELATING TO CRIMES AND OFFENSES).

THE TAVERN, INDIVIDUAL, AND/OR ENTITY HEREBY EXPRESSLY WAIVES, RELEASES, AND FOREVER DISCHARGES THE BOARD, THE BUREAU, THE DOR, THE DEPARTMENT OF LABOR AND INDUSTRY ("DLI"), PENNSYLVANIA STATE POLICE ("PSP"), THE COMMONWEALTH OF PENNSYLVANIA AND ITS INSTRUMENTALITIES, AND THEIR AGENTS, EMPLOYEES AND REPRESENTATIVES FROM ANY AND ALL MANNER OF ACTION AND CAUSES OF ACTION WHATSOEVER WHICH ITS ADMINISTRATORS OR EXECUTORS CAN, SHALL, OR MAY HAVE AGAINST THE COMMONWEALTH OF PENNSYLVANIA, BOARD, BUREAU, DOR, AND/OR PSP AND THEIR AGENTS, AS A RESULT OF APPLYING FOR THE LICENSED PRIVILEGE OF CONDUCTING TAVERN GAMES IN THE COMMONWEALTH OF PENNSYLVANIA.

FURTHERMORE, THE APPLICANT WAIVES LIABILITY AS TO THE COMMONWEALTH OF PENNSYLVANIA AND ITS INSTRUMENTALITIES AND AGENTS, FOR ANY DAMAGES RESULTING TO THE APPLICANT FROM ANY DISCLOSURE OR PUBLICATION, IN ANY MANNER, OTHER THAN A WILLFUL UNLAWFUL DISCLOSURE OR PUBLICATION, OF ANY MATERIAL OR INFORMATION ACQUIRED DURING THE LICENSING PROCESS OR DURING ANY INQUIRIES, INVESTIGATIONS OR HEARINGS RELATED THERETO.

APPLICANT CERTIFICATION (REQUIRED) DATE: \_\_\_\_/\_\_\_\_/20\_\_\_\_

SUBSCRIBED AND SWORN TO ME THIS \_\_\_\_ DAY OF \_\_\_\_\_ OF 20\_\_\_\_.

\_\_\_\_\_  
NAME OF APPLICANT

\_\_\_\_\_  
SIGNATURE OF APPLICANT

\_\_\_\_\_  
NOTARY PUBLIC

\_\_\_\_\_  
INDIVIDUAL PREPARING THIS FORM IF DIFFERENT FROM APPLICANT

MY COMMISSION EXPIRES ON \_\_\_\_/\_\_\_\_/20\_\_\_\_

\_\_\_\_\_  
NAME, TITLE AND SIGNATURE

**PENNSYLVANIA GAMING CONTROL BOARD  
APPLICATION RELEASE AUTHORIZATION**

THIS RELEASE AUTHORIZATION EXTENDS TO THE REVIEW AND COPYING OF ANY INFORMATION PROTECTED FROM DISCLOSURE, PRIVILEGE OR OBLIGATION.

TO: ALL COURTS, PROBATION DEPARTMENTS, EMPLOYERS, BANKS, FINANCIAL AND OTHER SUCH INSTITUTIONS, AND ALL GOVERNMENTAL AGENCIES-FEDERAL, STATE AND LOCAL, WITHOUT EXCEPTION, BOTH FOREIGN AND DOMESTIC.

FROM: \_\_\_\_\_  
LEGAL NAME OF APPLICANT OR INDIVIDUAL (PLEASE PRINT)

I, THE UNDERSIGNED APPLICANT, HAVE FILED AN APPLICATION FOR A TAVERN GAMING LICENSE WITH THE PENNSYLVANIA LIQUOR CONTROL BOARD. I UNDERSTAND THAT A BACKGROUND INVESTIGATION OF ME WILL BE CONDUCTED BY AGENTS OF THE PENNSYLVANIA GAMING CONTROL BOARD'S BUREAU OF INVESTIGATIONS AND ENFORCEMENT PURSUANT TO THEIR STATUTORY DUTY TO INVESTIGATE THE CHARACTER, HONESTY, INTEGRITY AND SUITABILITY OF MYSELF AND ANY ENTITY WITH WHICH I AM ASSOCIATED. I UNDERSTAND THAT I AM SEEKING THE GRANTING OF A PRIVILEGE AND ACKNOWLEDGE THAT IT IS AT ALL TIMES MY BURDEN TO PROVE MY QUALIFICATIONS AND SUITABILITY FOR A FAVORABLE DETERMINATION. I ACCEPT ANY RISK OF ADVERSE PUBLIC NOTICE, EMBARRASSMENT, CRITICISM, OR OTHER ACTION OR FINANCIAL LOSS WHICH MAY RESULT FROM ACTION WITH RESPECT TO THIS APPLICATION.

I HEREBY AUTHORIZE AND REQUEST ALL PERSONS, AND INSTITUTIONS, AND EVERY FEDERAL, STATE, OR LOCAL GOVERNMENTAL AGENCY, INCLUDING BUT NOT LIMITED TO EVERY COURT, LAW ENFORCEMENT AGENCY, CRIMINAL JUSTICE AGENCY, OR PROBATION DEPARTMENT, WITHOUT EXCEPTION, BOTH FOREIGN AND DOMESTIC TO WHOM THIS RELEASE AUTHORIZATION IS PRESENTED, HAVING INFORMATION RELATING TO OR CONCERNING ME, REFERENCED HEREIN ABOVE TO FURNISH SUCH INFORMATION, INCLUDING THE REVIEW AND COPYING OF DOCUMENTS, TO THE PENNSYLVANIA GAMING CONTROL BOARD, ITS AGENTS AND THE PENNSYLVANIA LIQUOR CONTROL BOARD AND ITS AGENTS WHETHER OR NOT SUCH INFORMATION WOULD OTHERWISE BE PROTECTED FROM DISCLOSURE BY ANY CONSTITUTIONAL, STATUTORY, OR OTHER LEGAL PRIVILEGE.

THIS RELEASE AUTHORIZATION EXPIRES THIRTY-SIX (36) MONTHS FROM THE DATE OF ISSUANCE OR AT THE TERMINATION OF A TAVERN GAMING LICENSE ISSUED TO ME.

IF THE PERSON OR ENTITY TO WHOM THIS RELEASE AUTHORIZATION IS PRESENTED IS A BROKERAGE FIRM, BANK, SAVINGS AND LOAN, OR OTHER FINANCIAL INSTITUTION OR AN OFFICER OF THE SAME, I HEREBY AUTHORIZE AND REQUEST THAT THE PENNSYLVANIA GAMING CONTROL BOARD, ITS AGENTS AND THE PENNSYLVANIA LIQUOR CONTROL BOARD AND ITS AGENTS BE PERMITTED TO REVIEW AND OBTAIN COPIES OF ANY AND ALL DOCUMENTS, RECORDS, OR CORRESPONDENCE PERTAINING TO ME, INCLUDING BUT NOT LIMITED TO PAST LOAN INFORMATION, NOTES COSIGNED BY ME, CHECKING ACCOUNT RECORDS, SAVINGS DEPOSIT RECORDS, SAFE DEPOSIT BOX RECORDS, PASSBOOK RECORDS, AND GENERAL LEDGER FOLIO SHEETS.

IF THIS RELEASE AUTHORIZATION IS PRESENTED TO A REGULATORY AGENCY, INCLUDING ANY GRIEVANCE OR DISCIPLINARY AGENCY, IN ANY JURISDICTION TO WHICH I HAVE BEEN GRANTED A PERMIT, LICENSE, CREDENTIAL, PRIVILEGE OR ANY SIMILAR AUTHORITY, I HEREBY AUTHORIZE AND REQUEST THAT THE PENNSYLVANIA GAMING CONTROL BOARD, ITS AGENTS AND THE PENNSYLVANIA LIQUOR CONTROL BOARD AND ITS AGENTS BE PERMITTED BY SAID AGENCY TO REVIEW AND OBTAIN COPIES OF ANY AND ALL DOCUMENTS, RECORDS, OR CORRESPONDENCE PERTAINING TO ME, AND I HEREBY AUTHORIZE SAID AGENCY, TO MAKE FULL AND COMPLETE DISCLOSURE OF ANY AND ALL INFORMATION INCLUDING, BUT NOT LIMITED TO, COMPLAINTS FILED AGAINST ME, DISPOSITION THEREOF, IMPOSITION OF DISCIPLINE, WHETHER PRIVATE OR PUBLIC, AS WELL AS SUCH OTHER INFORMATION ON FILE OR AVAILABLE CONCERNING ME.

IF THIS RELEASE AUTHORIZATION IS PRESENTED TO A FEDERAL, STATE OR LOCAL TAXING AUTHORITY, INCLUDING THE INTERNAL REVENUE SERVICE OR OTHER INCOME TAXING AUTHORITY, PERSONAL PROPERTY TAXING AUTHORITY, WAGE TAXING AUTHORITY, SCHOOL TAXING AUTHORITY, AND ANY OTHER TAXING BODY AS MAY RECEIVE TAXES OR RETURNS FILED BY ME, I HEREBY AUTHORIZE AND REQUEST THAT ANY DULY AUTHORIZED INVESTIGATOR OF THE PENNSYLVANIA

GAMING CONTROL BOARD AND/OR THE PENNSYLVANIA LIQUOR CONTROL BOARD BE PERMITTED BY SAID TAXING AUTHORITY TO REVIEW AND OBTAIN COPIES OF ANY AND ALL DOCUMENTS, RECORDS, TAX RETURNS, SCHEDULES AND SUPPORTING DOCUMENTATION, AUDITS, REPORTS, OR CORRESPONDENCE PERTAINING TO ME, AND I HEREBY AUTHORIZE SAID TAXING AUTHORITY TO MAKE FULL AND COMPLETE DISCLOSURE OF ANY AND ALL INFORMATION ON FILE OR AVAILABLE CONCERNING ME.

THE RIGHTS AND POWERS HEREIN GRANTED ARE INTENDED TO FACILITATE THE BACKGROUND INVESTIGATION BEING CONDUCTED BY THE PENNSYLVANIA GAMING CONTROL BOARD AND ITS AGENTS AT MY REQUEST AND ARE NOT OTHERWISE INTENDED TO CREATE OR ESTABLISH A FIDUCIARY RELATIONSHIP BETWEEN THE PENNSYLVANIA GAMING CONTROL BOARD, ITS AGENTS OR EMPLOYEES AND ME, OR THE PENNSYLVANIA LIQUOR CONTROL BOARD, ITS AGENTS AND EMPLOYEES AND ME. I HEREBY ACKNOWLEDGE THAT NO SUCH RELATIONSHIP EXISTS.

I HEREBY RELEASE, REMISE, INDEMNIFY, HOLD HARMLESS, AND FOREVER DISCHARGE THE PERSON OR ENTITY TO WHOM THIS RELEASE AUTHORIZATION IS PRESENTED, THE COMMONWEALTH OF PENNSYLVANIA, THE PENNSYLVANIA LIQUOR CONTROL BOARD AND THE PENNSYLVANIA GAMING CONTROL BOARD AND THEIR AGENTS AND EMPLOYEES FROM ANY AND ALL MANNER OF ACTION, CAUSES OF ACTION, SUITS, DEBTS, JUDGMENTS, EXECUTIONS, CLAIMS, DAMAGES, LOSSES, EXPENSES INCLUDING ATTORNEY FEES, AND DEMANDS WHATSOEVER, KNOWN OR UNKNOWN, IN LAW OR EQUITY, WHICH I EVER HAD, NOW HAVE, MAY HAVE, OR CLAIM TO HAVE AGAINST THE AFOREMENTIONED PERSONS OR ENTITIES TO WHOM THIS RELEASE AUTHORIZATION IS PRESENTED BY THE COMMONWEALTH OF PENNSYLVANIA, THE PENNSYLVANIA LIQUOR CONTROL BOARD AND THE PENNSYLVANIA GAMING CONTROL BOARD AND THEIR AGENTS OR EMPLOYEES ARISING OUT OF OR BY REASON OF COMPLYING WITH THIS RELEASE AUTHORIZATION OTHER THAN A WILLFULLY UNLAWFUL DISCLOSURE OR PUBLICATION OF MATERIAL OR INFORMATION ACQUIRED DURING MY INVESTIGATION.

I HEREBY AUTHORIZE THE PENNSYLVANIA GAMING CONTROL BOARD TO DISCLOSE ANY INFORMATION OBTAINED THROUGH MY BACKGROUND INVESTIGATION TO THE PENNSYLVANIA LIQUOR CONTROL BOARD.

A REPRODUCTION OF THIS REQUEST BY PHOTOCOPY, FACSIMILE OR SIMILAR PROCESS SHALL BE FOR ALL INTENTS AND PURPOSES AS VALID AS THE ORIGINAL.

THIS AUTHORIZATION, REQUEST AND RELEASE IS GRANTED AND GIVEN IN CONNECTION WITH THE APPLICATION OF THE APPLICANT OR INDIVIDUAL LISTED ABOVE.

SWORN AND SUBSCRIBED TO ME ON THIS

\_\_\_\_\_  
(SIGNATURE OF APPLICANT OR INDIVIDUAL)

\_\_\_\_ DAY OF \_\_\_\_\_, 20\_\_\_\_.

DATED: \_\_\_\_\_

\_\_\_\_\_  
NOTARY PUBLIC

MY COMMISSION EXPIRES ON: \_\_\_\_/\_\_\_\_/20\_\_\_\_